

# SESSIONS

PRE-EVENT SUMMITS - 14/04/2024

GAIM Ops Cayman

April 14 - 17, 2024  
The Ritz-Carlton, Grand Cayman

## Registration for summits only

11:30 - 12:00  
Networking

Coffee and refreshments will be served.

## Chair's welcome remarks

12:00 - 12:05  
ODD Summit

## Participants

**Chair: Ghufan Rizvi** - President, CalALTs

## ODD and compliance best practice for institutional investors

12:05 - 12:45  
ODD Summit

- Underlining the significance of targeted due diligence
- Managing 3<sup>rd</sup> party risks

## Participants

**Moderator: Michelle Noyes** - Managing Director, Head of Americas, The Alternative Investment Management Association (AIMA)

**Panelist: Thomas Hess** - Chief Operating Officer & Chief Financial Officer, Daventry Group

**Panelist: David Warsoff** - Executive Director, J.P. Morgan Alternative Asset Management

**Panelist: Lily Kim** - Director of Operations, Investment Office, Tulane University

**Panelist: Gwen Stone** - Operational Due Diligence Director, K2 Advisors / Franklin Templeton

## Chair's welcome remarks

12:40 - 12:45  
Legal and Compliance Summit

## Participants

**Chair: Mark Robertson** - General Counsel & Chief Compliance Officer, Eagle Capital Management, LLC

## Looking under the trunk – the case of a recent fraud

12:45 - 13:30  
ODD Summit

- Managing internal conflicts
- The lessons that you can learn from these cases

## Participants

**Simon Fludgate** - Partner, Aksia LLC

## Examining PFAR up close – “prohibited activities” and “preferential treatment”

12:45 - 13:30  
Legal and Compliance Summit

- What you need to know
- Preparing to comply before the 2024/2025 deadline

## Participants

**Moderator: Christie Oberg** - Chief Compliance Officer, AB CarVal Investors, LP

**Michael Kitson** - Deputy Chief Compliance Officer and Counsel, Bridgewater Associates

**Ian Meade** - Partner, Akin Gump LLP

**Abigail Penzell** - Partner, General Counsel & CCO, Bienville Capital Management

## Coffee break

13:30 - 14:00  
Networking

## The past, present and future of ODD

14:00 - 14:45  
ODD Summit

## Participants

**Moderator: Ghufan Rizvi** - President, CalALTs

**Panelist: Frank Perez** - Managing Director, Makena Capital Management

**Panelist: Rima Parikh** - Partner, Head of Operational Due Diligence, Brown Advisory

**Panelist: Jim O'Hara** - Managing Director, Co-Head of Implementation, Lighthouse Partners

## The SEC and recent whistleblower case

14:00 - 14:45  
Legal and Compliance Summit

- Overview of case
- What compliance leaders can learn

## Participants

**Ranah Esmaili** - Partner, Sidley Austin LLP

**Kai Lee** - Deputy General Counsel & Chief Compliance Officer, Oak Hill Advisors, L.P

**Alixandra Smith** - Chief of Business and Securities Fraud, U.S. Attorney's Office for the Eastern District of New York

## How tech is changing the face of due diligence

14:45 - 15:15  
ODD Summit

- The renewed urgency for operational due diligence

## Participants

**Moderator: Brynn Coursey Heegan** - Managing Director, ODD, Wilshire

**Panelist: Justine Lee** - Head of Operational Due Diligence, M&G Investments

**Panelist: Ryan King** - Director of ODD, East End Advisors, LLC

## 1/2 way summit review - the next conversation

14:45 - 15:15  
Legal and Compliance Summit

## Participants

**Moderator: Mark Robertson** - General Counsel & Chief Compliance Officer, Eagle Capital Management, LLC

**Panelist: Suzan Rose** - Senior Advisor, Government and Regulatory Affairs, AIMA

**Panelist: Kai Lee** - Deputy General Counsel & Chief Compliance Officer, Oak Hill Advisors, L.P

## Crisis management – what you need to know now

15:15 - 16:00  
ODD Summit

- Developing your own protection and response to issues
- How to mitigate the risks

## Participants

**Moderator: Terence Brady** - Director of Operational Due Diligence, Corbin Capital Partners

**Panelist: Anthony Cetta** - Director, Summit Rock Advisors

**Panelist: Max Kimpton** - Operational Due Diligence Senior Specialist, Innocap Investment Management Inc.

**Panelist: Mei-Li da Silva Vint** - Chief Commercial Officer, Brevet Capital

### Best practice – how CCOs are following the compliance program rule

15:15 - 16:00

Legal and Compliance Summit

- Preparing the firm in the best way
- Reviewing the key challenges

#### Participants

**Moderator: Ken Weiller** - Partner and Chief Operating Officer, X Cubed Capital Management, LLC

**Panelist: Mark Robertson** - General Counsel & Chief Compliance Officer, Eagle Capital Management, LLC

**Panelist: Brian Traficante** - Managing Director, Co-CEO, GC & CCO, York Capital

**Panelist: Kevin Medina** - Global Chief Compliance Officer, Värde Partners

### When you're in the crosshairs of government agencies, what happens? A global view

16:00 - 17:00

SEC session - please note summit attendees only

*Summit attendees only*

When you're in the crosshairs of government agencies, what happens? A global view

Join former regulators, GCs, regulatory counsel, and industry experts that will share war stories, real-life experiences and how to avoid missteps that can impact your career - and trigger the demise of your firm.

Featuring: Karl Wachter, General Counsel of Magnetar (Current AIMA Chairman and former General Counsel at Amaranth); Ian Mason, Partner at Walkers (Former Head of Department, Enforcement Division at the UK FSA); Suzan Rose, Senior Advisor at AIMA (Former Chief Compliance Officer at Marshall Wace North America); Steve Bruce, Managing Partner at ASC Advisors.

Topics to be covered include:

- Insider trading
- Regulatory topics
- Market events
- Unintended blow ups
- Partnership disputes
- Global enforcement actions
- Dynamics where the firm and individuals at the firm are both under investigation
- Co-operating with regulators/credit for cooperation
- Legal privilege in investigations
- Enforcement trends

The panel will also discuss how to prepare, respond, and recover from a crisis, with a view into the global regulatory mindset around enforcement.

#### Participants

**Steve Bruce** - Managing Partner, ASC Advisors, LLC

**Suzan Rose** - Senior Advisor, Government and Regulatory Affairs, AIMA

**Ian Mason** - Partner, Walkers

**Karl Wachter** - General Counsel & Partner, Magnetar Capital LLC

**Joel Wattenbarger** - Partner, Ropes & Gray LLP

**Kelly Koscuizka** - Partner, Schulte Roth & Zabel

### Welcome Cocktails on the terrace

17:00 - 18:15

Networking

Relax, unwind, and connect with the GAIM Ops community. Enjoy an evening of canapes, delicious cocktails, and music as the sun sets on the beautiful Caribbean Sea.

# SCHEDULE

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TIME	NETWORKING	ODD SUMMIT	LEGAL AND COMPLIANCE SUMMIT	SEC SESSION - PLEASE NOTE SUMMIT ATTENDEES ONLY
11:00	11:30 - Registration for summits only			
12:00		<p>12:00 - Chair's welcome remarks</p> <p>12:05 - ODD and compliance best practice for institutional investors</p> <p>12:45 - Looking under the trunk – the case of a recent fraud</p>	<p>12:40 - Chair's welcome remarks</p> <p>12:45 - Examining PFAR up close – “prohibited activities” and “preferential treatment”</p>	
13:00	13:30 - Coffee break			
14:00		<p>14:00 - The past, present and future of ODD</p> <p>14:45 - How tech is changing the face of due diligence</p>	<p>14:00 - The SEC and recent whistleblower case</p> <p>14:45 - 1/2 way summit review - the next conversation</p>	
15:00		15:15 - Crisis management – what you need to know now	15:15 - Best practice – how CCOs are following the compliance program rule	
16:00				16:00 - When you're in the crosshairs of government agencies, what happens? A global view
17:00	17:00 - Welcome Cocktails on the terrace			

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## Registration & Breakfast

08:00 - 08:40  
Networking

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## Chair's welcome remarks

08:40 - 08:45  
Main Stage

## Participants

**Chair: Tim Ludford-Thomas** - Partner, Cayman Islands, Walkers

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## Minister address – Official welcome from Cayman Islands

08:45 - 08:55  
Main Stage

## Participants

**Andre Ebanks** - Deputy Premier, Cayman Islands Government

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## Panel: developing top talent and strategies to retain the best teams

08:55 - 09:25  
Main Stage

## Participants

**Moderator: Nauman Malik** - Senior Principal, General Counsel, Eagle Point Credit

**Panelist: Dan Levinson** - Chief Financial Officer, Blue Grotto Capital, LLC

**Panelist: Chris Hughes** - Principal; Chief Operating Officer, Axonic Capital LLC

**Panelist: Stephanie Smith** - Global Chief Operating Officer, Equity Private Markets and Head of Private Markets Platform, BlackRock

**Panelist: Ambuj Garg** - Chief Operating Officer, NAV Fund Administration Group

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## Keynote: Changing business practices, bad actors and red flags

09:25 - 10:05  
Main Stage

## Participants

**Moderator: Christie Oberg** - Chief Compliance Officer, AB CarVal Investors, LP

**Panelist: Jeremy Capello** - Chief, Economic Crimes, Federal Bureau of Investigation

**Panelist: Michael O'Neill** - Assistant Chief, Fraud Section, Criminal Division, United States Department of Justice

**Panelist: Kathleen Clapper** - Managing Director, Compliance, National Futures Association (NFA)

**Panelist: Stan Yakoff** - RegTech Adviser & Law Professor, Fordham Law School

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## Geopolitics across the globe: looking beyond AI

10:05 - 10:35  
Main Stage

- Clarifying the main geopolitical risks and why 2024 will likely be the most turbulent year in over 20 years
- What investment managers and investors need to know and do to manage the current evolving geopolitical landscape
- The challenge and opportunity of AI - the key is trust

## Participants

**Manas Chawla** - CEO, London Politica

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## Coffee Break

10:35 - 11:00  
Networking

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## Setting the scene: the PFAR update

11:00 - 11:05  
Main Stage

## Participants

**Moderator: Suzan Rose** - Senior Advisor, Government and Regulatory Affairs, AIMA

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## The PFAR update

11:05 - 11:45  
Main Stage

- Reviewing industry feedback
- Views from institutional investors on the rule
- Timelines and what you need to know

## Participants

**Moderator: Suzan Rose** - Senior Advisor, Government and Regulatory Affairs, AIMA

**Panelist: David Zeiden** - Chief Compliance Officer & Chief Regulatory Counsel, Sculptor Capital

**Panelist: Anna Spector** - Managing Director, CCO, CVC Credit Partners

**Panelist: Brendan Kalb** - General Counsel, ExodusPoint Capital Management LP

**Panelist: Michael Kitson** - Deputy Chief Compliance Officer and Counsel, Bridgewater Associates

**Panelist: Lucy Frew** - Partner, Head of Regulatory & Risk Advisory, Walkers

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## The era of over reliance on compliance

11:45 - 12:30  
Main Stage

## Participants

**Mark Schein** - General Counsel and Chief Operating Officer, Madison International Realty

**Andrew Kandel** - Global Chief Compliance Officer, Senior Legal Counsel & Senior Managing Director, Cerberus Capital Management

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## Lunch and Speaker Hosted Lunch Tables

12:30 - 13:35  
Networking

- Demystifying valuations of early-stage companies - Christopher Franzek
- US tax considerations – Zane Alajou
- Operational due diligence on start-ups – Thomas Hess and Mika Mukawa
- Compliance best practices – protecting against MNPI – Ted Wright
- Hot topics for CPOs and CTAs – Jeremy Liabo
- How investors can manage risks more efficiently – Walter Wright

C-SUITE LUNCHESES - Event by invitation only

## Participants

**Chris Franzek** - Managing Director, Stout

**Zane Alajou** - Chief Financial Officer, Hawk Ridge Capital Management

**Thomas Hess** - Chief Operating Officer & Chief Financial Officer, Daventry Group

**Mika Mukawa** - ODD Consultant, Camelspin Consulting

**Ted Wright** - Head of RMS – Americas, Verity

**Jeremy Liabo** - Partner, Ropes & Gray LLP

**Mr Walter Wright** - Managing Director, MSCI

## Chair's welcome remarks

13:35 - 13:40  
Track 1 - Data and tech

## Participants

**Chair: Manas Chawla** - CEO, London Politica

## Chair's welcome remarks

13:35 - 13:40  
Track 2 - Compliance challenges

## Participants

**Chair: Kathleen Clapper** - Managing Director, Compliance, National Futures Association (NFA)

## Chair's welcome remarks

13:35 - 13:40  
Track 3 - Private equity

## Participants

**Simon Fludgate** - Partner, Aksia LLC

## Spotlight on shadow trading

13:40 - 14:20  
Track 1 - Data and tech

## Participants

**Moderator: Alvaro Soto** - Chief Compliance Officer & Managing Director, White Wolf Capital Group, Inc.

**Panelist: Alixandra Smith** - Chief of Business and Securities Fraud, U.S. Attorney's Office for the Eastern District of New York

## The industry's perspective on PFAR

13:40 - 14:20  
Track 2 - Compliance challenges

## Participants

**Moderator: Adam Reback** - Partner, Optima Partners Compliance Advisory

**Panelist: Sagan Weiss** - Chief Compliance Officer, J. Goldman & Co. L.P.

**Panelist: Rory Cohen** - General Counsel & Co-Head, Asset Management, Varadero Capital, L.P.

**Panelist: Neil Margolies** - General Counsel & CCO, Tilden Park Capital Management LP

## Automation and growth - a firm's story

13:40 - 14:20  
Track 3 - Private equity

## Participants

**Moderator: Tyler Gay** - Partner, Deloitte

**Panelist: Jonathan Cancro** - Managing Director, Apollo Global Management

**Panelist: Barnaby Gowrie** - Partner, Walkers

## Mastering real-time treasury data

14:20 - 15:00  
Track 1 - Data and tech

Managing market volatility, risk and regulatory change

## Participants

**Moderator: Stephanie Miller** - President and Chief Executive Officer, Hazeltree

**Panelist: Jason Hubschman** - Managing Director, Co-Head Alternative Managed Accounts, Wilshire

**Panelist: Jeff Miller** - Chief Financial Officer, Tri Locum Partners

## AML and financial crimes

14:20 - 15:00  
Track 2 - Compliance challenges

## Participants

**Moderator: Robert Johnston** - Partner, Investment Management, Lowenstein Sandler LLP

**Panelist: Steve Penner** - General Counsel, VR Capital Group

**Panelist: David Zales** - Partner, General Counsel and CCO, SRS Investment Management

**Panelist: Megan Powell** - Deputy Head, Anti-Money Laundering Division, CIMA

## How to enhance cybersecurity best practice

14:20 - 15:00  
Track 3 - Private equity

## Participants

**Moderator: Brad Carpenter** - Global Cybersecurity Expert, No Affiliation, Independent

**Panelist: Mark Russo** - General Counsel, ZAIS Group

## Coffee Break

15:00 - 15:20  
Networking

## Role of AI in compliance and compliance supervision

15:20 - 15:50  
Track 1 - Data and tech

## Participants

**Moderator: Terrance O'Malley** - General Counsel & Chief Compliance Officer, Kensico Capital Management

**Panelist: Fahreen Kurji** - Chief Customer Intelligence Officer, Behavox

## The regulatory outlook for digital assets

15:20 - 15:50  
Track 2 - Compliance challenges

## Participants

**Moderator: Michelle Noyes** - Managing Director, Head of Americas, The Alternative Investment Management Association (AIMA)

**Shastri Singh** - Chief Analyst, VASP & Fintech Innovation Unit, CIMA

**Gregory Xethalis** - General Counsel, Multicoins Capital

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## Discussion on the complexity of structuring funds

15:20 - 15:50

Track 3 - Private equity

### Participants

**Moderator: Michael Oates** - CPA, Partner, Tax Team Leader, Financial Services, Withum

**Panelist: David Poulten** - Partner, Inceptiv Management

**Panelist: Brian Traficante** - Managing Director, Co-COO, GC & CCO, York Capital

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## Invite only - see below for more details

15:50 - 16:30

Expertise room 1

Developing relationships with emerging managers

The goal of the expertise rooms is to assemble a mixture of people to discuss and solve specific business challenges.

We bring together a group of 20 people per room and three presenters. The two presenters share their challenge they are facing in rapid-fire format. Next, each attendee offers questions, insights, suggestions or introductions that may assist the presenter(s). Be amazed by the power of thinking collectively and enjoy a drink.

Closed door, Chatham House Rules

### Participants

**Speaker: Kurt Spero** - Partner, COO, CFO, Old Farm Partners

**Speaker: Bruce Berger** - COO/CFO, The Linonia Partnership LP

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## Invite only - see below for more details

15:50 - 16:30

Expertise room 2

Culture, communication, teamwork and overcoming growing pains

The goal of the expertise rooms is to assemble a mixture of people to discuss and solve specific business challenges.

We bring together a group of 20 people per room and three presenters. The two presenters share their challenge they are facing in rapid-fire format. Next, each attendee offers questions, insights, suggestions or introductions that may assist the presenter(s). Be amazed by the power of thinking collectively and enjoy a drink.

Closed door, Chatham House Rules

### Participants

**Speaker: David Bradley** - Chief Operating Officer, Hawk Ridge Capital Management

**Speaker: Justine Leung** - Chief Compliance Officer, Hawk Ridge Capital Management

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## Beach Cocktail Reception Sponsored by Grant Thornton

16:30 - 18:30

Networking

Head to Seven Mile Beach and mingle with the GAIM Ops crowd. Enjoy cocktails, delicious cuisine, and lively music.

# SCHEDULE

GAIM OPS CONFERENCE DAY ONE - 15/04/2024

GAIM Ops Cayman

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TIME	NETWORKING	MAIN STAGE	TRACK 1 - DATA AND TECH	TRACK 2 - COMPLIANCE CHALLENGES	TRACK 3 - PRIVATE EQUITY	EXPERTISE ROOM 1	EXPERTISE ROOM 2
08:00	08:00 - Registration & Breakfast	08:40 - Chair's welcome remarks 08:45 - Minister address – Official welcome from Cayman Islands 08:55 - Panel: developing top talent and strategies to retain the best teams					
09:00		09:25 - Keynote: Changing business practices, bad actors and red flags					
10:00	10:35 - Coffee Break	10:05 - Geopolitics across the globe: looking beyond AI					
11:00		11:00 - Setting the scene: the PFAR update 11:05 - The PFAR update 11:45 - The era of over reliance on compliance					
12:00	12:30 - Lunch and Speaker Hosted Lunch Tables						
13:00			13:35 - Chair's welcome remarks 13:40 - Spotlight on shadow trading	13:35 - Chair's welcome remarks 13:40 - The industry's perspective on PFAR	13:35 - Chair's welcome remarks 13:40 - Automation and growth - a firm's story		

# SCHEDULE

GAIM OPS CONFERENCE DAY ONE - 15/04/2024

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TIME	NETWORKING	MAIN STAGE	TRACK 1 - DATA AND TECH	TRACK 2 - COMPLIANCE CHALLENGES	TRACK 3 - PRIVATE EQUITY	EXPERTISE ROOM 1	EXPERTISE ROOM 2
14:00			14:20 - Mastering real-time treasury data	14:20 - AML and financial crimes	14:20 - How to enhance cybersecurity best practice		
15:00	15:00 - Coffee Break		15:20 - Role of AI in compliance and compliance supervision	15:20 - The regulatory outlook for digital assets	15:20 - Discussion on the complexity of structuring funds	15:50 - Invite only - see below for more details	15:50 - Invite only - see below for more details
16:00	16:30 - Beach Cocktail Reception Sponsored by Grant Thornton						

# SESSIONS

GAIM OPS CONFERENCE DAY TWO - 16/04/2024

GAIM Ops Cayman

April 14 - 17, 2024  
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## Registration & Breakfast

08:05 - 09:05  
Networking

Investor Breakfast (Invite only)

Roundtable discussions

- Digitalized DDQs – hosted by Sai Gadwale
- Developing better relationships with managers – hosted by Lily Kim

## Chair's welcome remarks

09:05 - 09:10  
Main Stage

### Participants

**Chair: Odette Samson** - Partner | Audit & Assurance, Deloitte & Touche

## Intro - How to mitigate cyber threats

09:10 - 09:15  
Main Stage

### Participants

**Moderator: Patrice Bonfiglio** - President, Sarissa Capital Management LP

## How to mitigate cyber threats

09:15 - 10:00  
Main Stage

- How cyber risk has spiralled out of control
- Overview of case studies
- Business transformation versus tech transformation
- Examining tools, tips and tricks for firms and investors

### Participants

**Moderator: Patrice Bonfiglio** - President, Sarissa Capital Management LP

**Panelist: Simon Eyre** - Chief Information Security Officer, Drawbridge

**Panelist: Cary Goldstein** - Chief Technology and Security Officer, Empyrean Capital Partners, LP

## What's next for alternatives – how are market structures going to evolve?

10:00 - 10:30  
Main Stage

### Participants

**Chuck Pulsfort** - Managing Director, Head of Americas Financial and Regulatory Reporting, BlackRock

**Bryan Morris** - Partner, Deloitte

## Coffee Break

10:30 - 11:00  
Networking

## The lowdown from CIMA: a regulatory update

11:00 - 11:25  
Main Stage

### Participants

**Cindy Scotland, OBE** - Managing Director, Cayman Islands Monetary Authority

## Assessing the US regulatory environment: looking back, looking forward

11:25 - 11:55  
Main Stage

- Berman will uncover the inner workings of government, highlighting the political and territorial battles within the justice system
- Discover the lengths Trump's Justice Department went to corrupting the independence of the office and what Berman did to fight back
- Explore casework of the Southern District, including taking down criminal syndicates and CEOs

### Participants

**Moderator: Terrance O'Malley** - General Counsel & Chief Compliance Officer, Kensico Capital Management

**Brendan Kalb** - General Counsel, ExodusPoint Capital Management LP

## Looking from the outside in - the future for alts

11:55 - 12:40  
Main Stage

### Participants

**Steve Bruce** - Managing Partner, ASC Advisors, LLC

**Ortenca Aliaj** - Deputy US Corporate Finance Editor, Financial Times

**Jennifer Ablan** - Editor-in-Chief and Chief Content Officer, Pensions and Investments

## Lunch and speaker hosted lunch tables (Location: Rembro)

12:40 - 13:25  
Networking

The speaker hosted lunch tables will take place in Rembro.

1. Wire fraud - What every hedge fund can learn - Prem Jacob
2. AI and the investment process – Labib Mahfouz
3. Navigating the evolving landscape of cybersecurity compliance in the financial sector – Paul Ponzeka
4. How investors are using the latest AI and automated technologies to minimize risk – Rahul Ravi
5. Trustworthy AI | Risk, trust and AI governance – Pieta Brown
6. The geopolitical risk outlook for 2024 - Manas Chawla
7. Demystifying valuations of early-stage companies - Christopher Franzek

### Participants

**Prem Jacob** - VP of Investor Services, NAV Fund Administration Group

**Mr Rahul Ravi** - Vice President, Intelligo

**Labib Mahfouz** - Global Head of Strategic Partnerships and Alliances, Enfusion

**Mr Paul Ponzeka** - CTO, Abacus Group

**Pieta Brown** - Risk Advisory Director & GenAI Leader, Deloitte & Touche LLP

**Manas Chawla** - CEO, London Politica

**Chris Franzek** - Managing Director, Stout

## Chair's welcome remarks

13:25 - 13:30  
Track 1 - Risk management

### Participants

**Chair: David Bradley** - Chief Operating Officer, Hawk Ridge Capital Management

## Chair's welcome remarks

13:25 - 13:30  
Track 2 - Technology and mega trends

### Participants

**Chair: Labib Mahfouz** - Global Head of Strategic Partnerships and Alliances, Enfusion

## Chair's welcome remarks

13:25 - 13:30  
Sustainability

### Participants

**Chair: Phillip Sanders** - Partner, New Media Ventures

### How we use big data to make investment decisions

13:30 - 14:10

Track 1 - Risk management

- The story
- What to watch out for and lessons learned

#### Participants

**Michael Barry** - General Counsel & Chief Compliance Officer, Samlyn Capital

**Adam Cohen** - Managing Director & Head of Operational Due Diligence, Agility

### Embracing AI: Proactive Strategies for Excellence in the Alternative Investment Industry

13:30 - 14:10

Track 2 - Technology and mega trends

- The increasing impact of AI
- How to avoid mediocrity

#### Participants

**Moderator: Labib Mahfouz** - Global Head of Strategic Partnerships and Alliances, Enfusion

**Panelist: Stephen Godino** - Chief Information Officer, Viking Global Investors LP

### The sustainability collective – empowering the investor and manager

13:30 - 14:10

Sustainability

*In a world grappling with complex social and environmental challenges, envisioning a future of the alternatives industry that can contribute to solving some of these challenges has never been more critical. This panel will provide actionable insights into how we can translate intentions and ideas into action as we shape a future of the alternatives industry that values sustainability, inclusivity, and collaborative impact. Most importantly, this panel will explore how investors and fund managers can collaborate as allies to drive meaningful change throughout the industry.*

*As we collectively envision a sustainable future for alternatives, some of the topics covered will include:*

- *Building bridges for impact: How can investors and fund managers work together in creating strategies that drive both financial success and positive social outcomes?*
- *Allyship in action: Real-world world examples of successful partnerships in the alternatives industry that have led to significant strides in sustainability*
- *Ethical leadership and governance: The role of ethical leadership and governance in fostering a culture of sustainability and responsibility in the alternatives industry*

#### Participants

**Moderator: Sylvia Kim** - Founder & CEO, Rebel for Good

**Panelist: Julie Castro Abrams** - CEO & Founder, How Women Lead

**Panelist: Mariah Lichtenstern** - Founding Partner, DiverseCity Ventures

### AI - the experience for managers and investors

14:10 - 14:40

Track 1 - Risk management

- Use cases of AI
- What firms and investors need to think about
- Accessibility of AI

#### Participants

**Moderator: Dave Murray** - COO, Invictus Sovereign

**Panelist: Ryan Teal** - Partner, Head of ODD Americas, Albourne Partners

**Panelist: Scott Decker** - Global Head of Investment Management Solutions, CENTRL, Inc

**Panelist: Abhishek Sinha** - CEO & CIO, Nelumbium Capital

### To minimize or maximize: outsourcing best practice

14:10 - 14:40

Track 2 - Technology and mega trends

#### Participants

**Moderator: Marshall Saffer** - Managing Director, Global Fund Solutions, CSC

**Panelist: Ken Weiller** - Partner and Chief Operating Officer, X Cubed Capital Management, LLC

**Panelist: Kristen McMahon** - COO of Legal, Tax, and Compliance, Apollo

### Best practice in sustainability – a case study

14:10 - 14:40

Sustainability

#### Participants

**Moderator: Sylvia Kim** - Founder & CEO, Rebel for Good

**Panelist: Michael Kent** - Partner, New Leaf Climate Partners

### Coffee break

14:40 - 15:05

Networking

### Open Q&A session on operational resilience

15:05 - 15:40

Track 1 - Risk management

#### Participants

**David Bradley** - Chief Operating Officer, Hawk Ridge Capital Management

### 3 ways technology can improve fund operations

15:05 - 15:40

Track 2 - Technology and mega trends

#### Participants

**Moderator: Pieta Brown** - Risk Advisory Director & GenAI Leader, Deloitte & Touche LLP

**Panelist: Ravi Gupta** - VP - Strategic Solutions, NAV Fund Administration Group

**Panelist: Dave Murray** - COO, Invictus Sovereign

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## The sustainability agenda and the challenges ahead

15:05 - 15:40

Sustainability

- Aligning managers and investors
- Managing reporting standards and due diligence
- Navigating frameworks effectively

### Participants

**Moderator: Katie Twomey** - Senior Vice President of Finance & Operations, Illumen Capital

**Panelist: Sarah Busch** - Head of ESG, Aperture Investors

**Panelist: David Gulkowitz** - Chief Operating Officer/ Chief Financial Officer, DSC Meridian Capital

**Panelist: Phillip Sanders** - Partner, New Media Ventures

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## Cocktail reception - Celebrating Women in Ops, Compliance, and Due Diligence

15:40 - 16:40

Networking

Join us this afternoon for cocktails. It's the perfect time to meet new people and enjoy a drink.

# SCHEDULE

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TIME	NETWORKING	MAIN STAGE	TRACK 1 - RISK MANAGEMENT	TRACK 2 - TECHNOLOGY AND MEGA TRENDS	SUSTAINABILITY
08:00	08:05 - Registration & Breakfast				
09:00		09:05 - Chair's welcome remarks 09:10 - Intro - How to mitigate cyber threats 09:15 - How to mitigate cyber threats			
10:00	10:30 - Coffee Break	10:00 - What's next for alternatives – how are market structures going to evolve?			
11:00		11:00 - The lowdown from CIMA: a regulatory update 11:25 - Assessing the US regulatory environment: looking back, looking forward 11:55 - Looking from the outside in - the future for alts			
12:00	12:40 - Lunch and speaker hosted lunch tables (Location: Rembro)				
13:00			13:25 - Chair's welcome remarks 13:30 - How we use big data to make investment decisions	13:25 - Chair's welcome remarks 13:30 - Embracing AI: Proactive Strategies for Excellence in the Alternative Investment Industry	13:25 - Chair's welcome remarks 13:30 - The sustainability collective – empowering the investor and manager
14:00	14:40 - Coffee break		14:10 - AI - the experience for managers and investors	14:10 - To minimize or maximize: outsourcing best practice	14:10 - Best practice in sustainability – a case study

# SCHEDULE

GAIM OPS CONFERENCE DAY TWO - 16/04/2024

GAIM Ops Cayman

April 14 - 17, 2024  
The Ritz-Carlton, Grand Cayman

<b>TIME</b>	<b>NETWORKING</b>	<b>MAIN STAGE</b>	<b>TRACK 1 - RISK MANAGEMENT</b>	<b>TRACK 2 - TECHNOLOGY AND MEGA TRENDS</b>	<b>SUSTAINABILITY</b>
<b>15:00</b>	<b>15:40</b> - Cocktail reception - Celebrating Women in Ops, Compliance, and Due Diligence		<b>15:05</b> - Open Q&A session on operational resilience	<b>15:05</b> - 3 ways technology can improve fund operations	<b>15:05</b> - The sustainability agenda and the challenges ahead

# SESSIONS

GAIM OPS CONFERENCE DAY THREE - 17/04/2024

GAIM Ops Cayman

April 14 - 17, 2024

The Ritz-Carlton, Grand Cayman

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## Breakfast

08:15 - 09:10  
Networking

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## Chair's welcome remarks

09:10 - 09:15  
Main Stage

## Participants

**Chair: Ambuj Garg** - Chief Operating Officer, NAV Fund Administration Group

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## Managing emerging risks – collaborating with law enforcement

09:15 - 09:55  
Main Stage

## Participants

**Moderator: Patrice Bonfiglio** - President, Sarissa Capital Management LP

**Panelist: Sagan Weiss** - Chief Compliance Officer, J. Goldman & Co. L.P.

**Panelist: Mark Schein** - General Counsel and Chief Operating Officer, Madison International Realty

**Panelist: Jeremy Capello** - Chief, Economic Crimes, Federal Bureau of Investigation

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## What does the digital asset management firm of the future look like?

09:55 - 10:40  
Main Stage

## Participants

**Moderator: Brian Smith** - CFO and COO, Multicooin Capital

**Panelist: Jennifer Murphy** - Founder & CEO, Runa Digital Assets

**Panelist: Ayesha Kiani** - Chief Operating Officer, MNNC Group

**Panelist: Michelle Noyes** - Managing Director, Head of Americas, The Alternative Investment Management Association (AIMA)

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## Roundtable discussions

10:40 - 11:40  
Main Stage

- The future of ODD - Nick Lotysz
- The future for digital assets - Brian Smith
- Aligning financial and compliance processes for business growth - Kathleen Clapper

## Participants

**Nick Lotysz** - Director of Operational Due Diligence, First Trust Capital Management

**Brian Smith** - CFO and COO, Multicooin Capital

**Kathleen Clapper** - Managing Director, Compliance, National Futures Association (NFA)

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## Lunch for AIMA members and delegates

11:40 - 14:10  
Networking

# SCHEDULE

GAIM OPS CONFERENCE DAY THREE - 17/04/2024

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TIME	NETWORKING	MAIN STAGE
08:00	08:15 - Breakfast	
09:00		09:10 - Chair's welcome remarks 09:15 - Managing emerging risks – collaborating with law enforcement 09:55 - What does the digital asset management firm of the future look like?
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